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FORM PTO-1449 (Moduled)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION				YOR9199804	SERIAL NO.: 09/989,770						
DISCLOSURE STATEMENT					APPLICANT: Jack O. Chu et al.						
(Use several sheets if necessary)					FILING DATE: November 20, 20	GROUP: 2815					
EFERENCE I	DESIG	NATION		U.S. PAT	ENT DOCUMENTS						
EXAMINER INITIALS		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS		G DATE PPRO.)		
PUN	AA	5,019,882	05/28/1991	S	olomon et al.	357	23.8	05/15	5/1989		
hon	AB	5,298,452	03/29/1994		Meyerson	437	81	02/21/1992			
m	AC	5,659,187	08/19/1997		Legoues et al.	257	190	06/07	7/1995		
1000	AD	5,259,918	11/09/1993		Akbar et al.	156	610	06/12/1991			
402	AE	5,442,205	08/15/1995	Brasen et al.		257	191	08/09/1993			
Br	AF	5,436,474	07/25/1995		Banerjee et al.	257	194	12/07	/1994		
nan	AG	5,821,577	10/13/1998	Crabbe' et al.		257	288	11/30/1992			
Nav	АН	5,221,413	06/22/1993		Brasen et al.	156	613	04/24/1991			
			FC	OREIGN P.	ATENT DOCUMENTS			•			
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ろって	AK	People et al. "B Ge <sub>y</sub> Si <sub>1-y</sub> Substra	and Alignments tes" Appl. Phys	Of Cohe	erently Strained Ge <sub>x</sub> S 3 (8); February 24, 19	Si <sub>1-x</sub> /Si Hetero 986; pp. 538-	structures or 540.	<001>	•		
120		Rodder et al. "A 1.2 V, 0.1µm Gate Length CMOS Technology; Design And Process Issues"; IEDM									
100~	AM	Arafa "A 70GHz <sub>(T</sub> Low Operating Bias Self-Aligned p-Type SiGe MODFET"; IEEE Elec. Dev. Lett.									
XAMINER <i>U</i>		Q (M)			DATE CONSIDERED	6/26/0	)				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)					ATTY. DOCKET NO. YO YOR919980460US2	<u> </u>	09	RIAL NO.: / <b>989,77</b> 0		<u>,c 2 01</u>
					APPLICANT: Jack O. Chu et al.					
					FILING DATE: GROUP: November 20, 2001 2815					
REFERENCE I	DESIG	NATION	U.S	5. PAT	TENT DOCUMENTS					
EXAMINER INITIALS		DOCUMENT NUMBER	DATE		NAME	CLA	SS	SUBCLASS		G DATE PPRO.)
hon	ВА	5,241,197	08/31/1993	M	Iurakami et al.	25	7	192	09/1	13/1991
101	ВВ	5,686,744	11/11/1997		Koviac	25	7	280	06/17/	1996
101	ВС	5,357,119	10/18/1994		Wang et al.	25	7	18	02/19	9/1993
701	BD	4,994,866	02/19/1991		Awano	35	7	16	01/05	5/1989
202	BE	5,285,088	02/08/1994		Sato et al.	25	7	192	09/16	5/1992
121	BF	5,350,940	09/27/1994		Rona	25	7	402	12/0€	5/1991
2	BG	5,036,374	07/30/1991		Shimbo	35	7	23.2	04/11	1/1988
12	вн	5,561,302	10/01/1996		Candelaria	25	7	24	09/26	5/1994
250	ВІ	5,223,724			Green, Jr.	25	7	194 03/13		3/1992
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DISCLOSUR	E STATE	EMENT		APPLICANT: Jack O.	APPLICANT: Jack O. Chu et al.				
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	S SUBCLASS	FILING DATE (IF APPRO.)		
ron	CA	5,698,869	12/16/1997	Yoshimi et al.	257	192	09/13/1995		
hw	СВ	5,216,271	03/01/1993	Takagi et al.	257	370	09/27/1991		
るて	СС	5,272,365	12/21/1993	Nakagawa et al.	257	194	05/26/1992		
200 -	CD	5,726,487	03/10/1998	Sameshima et al.	257	616	10/28/1994		
لمم	CE	5,665,981	09/09/1997	Banerjee et al.	257	66	11/22/1996		
121	CF	5,461,250	10/24/1995	Burghartz et al.	257	347	08/10/1992		
Bu	CG	5,451,800	09/19/1995	Mohammad	257	192	08/18/1994		
			FOREIC	GN PATENT DOCUMENTS					
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
may	DA	5,534,713	07/09/1996	Ismail et al.	257	24	05/20/1994
M	DB	6,059,895	05/09/2000	Chu et al.	148	33.1	05/13/1999
	DC	5,523,592	06/04/1996	Nakagawa et al.	257	96	02/01/1994
	DD						
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	DF						
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			FOREIGN	N PATENT DOCUMENTS			
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
لمكر	DI	JP 361294877	December 1986	Japan	257	18	
	DJ						
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			whether or not citation is in	conformance with MPEP 609. Draw	line through o	itation if not in co	onformance and not